



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SAVER, et al.

Serial No.: 09/853,372

Filed: May 11, 2001

Art Unit: 1764

Examiner: T. M. Nguyen

Atty. Docket No.: 01-704-US

**COAL TAR AND HYDROCARBON
MIXTURE PITCH PRODUCTION
USING A HIGH EFFICIENCY
EVAPORATIVE DISTILLATION
PROCESS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on the enclosed Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

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☒ deposited with the United States Postal Service on February 28, 2005, with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Lori B. Amoroso

Lori B. Amoroso
(Signature of person mailing paper or fee)

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03/03/2005 RFEKADU1 00000007 09853372

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1. ☒ Enclosed is PTO Form 1449 (and a duplicate thereof).
2. ☒ Items listed on the enclosed Form PTO-1449
☒ are not in the English language:
 - ☒ For each of the following items, an English-language abstract is enclosed: JP Sho 52-62329; JP Sho 62-116688.
 - ☐ For each of the following items, a concise explanation of that item is incorporated in the specification of the above-identified application: .
 - ☒ For each of the following items, a corresponding U.S. or other English-language patent is enclosed or has been previously cited. Please consider the U.S. or other English-language patents in accordance with the procedure set forth in MPEP § 609: JP Sho 58-132079, which corresponds to U.S. Patent No. 4,497,789; JP Hei 5-125366, which corresponds to U.S. Patent No. 5,262,043; JP Hei 2-502468, which corresponds to U.S. Patent No. 5,128,021; JP Hei 8-500383, which corresponds to U.S. Patent No. 5,429,739.
- ☒ that are U.S. patents or publications, no copies are being provided in accordance with the Official Gazette Notice of August 5, 2003.

3. ☐ **Submission in Accordance with 37 CFR § 1.98(d).**

Any copy of the items listed on the enclosed Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in Application Serial No. [Ser. No.], filed [Date], of which the present application relies upon for an earlier filing date under 35 U.S.C. 120.

4. ☐ **Submission in Accordance with 37 CFR § 1.97(b).**

This Information Disclosure Statement is being filed

- ☐ With the application;
- ☐ Within three months of the filing date of a national application;
- ☐ Within three months of the date of entry of the national stage in an international application; or
- ☐ Before the mailing date of a first Office Action on the merits,

and NO FEE is due for consideration of this Information Disclosure Statement.

5. ☐ **Submission in Accordance with 37 CFR 1.97(c).**

This Information Disclosure Statement is being filed before the first mailing date of

☐ A final action under § 1.113; or

☐ A notice of allowance under § 1.311.

☐ A fee is due for consideration of this Information Disclosure Statement and the fee is paid below; or

☐ This Information Disclosure Statement is accompanied by one of the certifications pursuant to 37 CFR § 1.97(e), and NO FEE is due for consideration of this Information Disclosure Statement.

6. ☒ **Submission in Accordance with 37 CFR 1.97(d).**

This Information Disclosure Statement is being filed after the mailing date of

☐ A final action under § 1.113; or

☒ A notice of allowance under § 1.311,

whichever comes first, but before payment of the issue fee, and is accompanied by

a. One of the certifications pursuant to 37 CFR § 1.97(e), which is set forth below; and

b. The fee under 37 CFR § 1.17(p), which is paid below.

7. **Certification Pursuant to 37 CFR 1.97(e).**

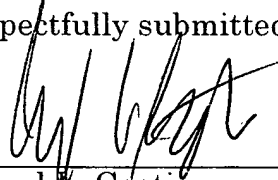
☒ The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ The undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

8. **Fee Payment Being Made.**

- ☒ Enclosed
- ☐ \$180.00 fee for submission under 37 CFR § 1.97(c).
- ☒ \$180.00 fee for submission under 37 CFR § 1.97(d).
- ☐ Charge the fee to Deposit Account No. 18-0582. A duplicate copy of this communication is attached.
- ☒ The Director is hereby authorized to charge payment of any additional fees associated with this Information Disclosure Statement or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this communication is enclosed.

Respectfully submitted,



Cheryl L. Gastineau
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Agent for Applicants

Dated: February 28, 2005



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JFW.

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February 28, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicants: SAVER et al.
Title: COAL TAR AND HYDROCARBON MIXTURE
PITCH PRODUCTION USING A HIGH
EFFICIENCY EVAPORATIVE DISTILLATION
PROCESS
Serial No.: 09/853,372
Filed: May 11, 2001
Art Unit: 1764
Examiner: Tam M. Nguyen
Docket No: 01-704-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Third Supplemental Information Disclosure Statement;
2. A PTO Form 1449;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

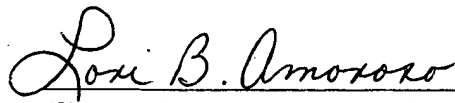
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Lori B. Amoroso



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February 28, 2005

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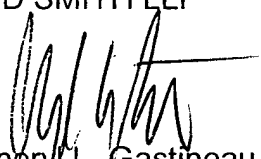
3. A check in the amount of \$180.00 representing the petition fee under 37 CFR § 1.17(p); and
4. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is hereby authorized to charge any additional payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

REED SMITH LLP

By


Cheryl L. Gastineau